

OFFICE OF THE GOVERNOR

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ALABAMA DEPARTMENT OF ECONOMIC
AND COMMUNITY AFFAIRS

Bill JOHNSON
DIRECTOR

STATE OF ALABAMA

June 13, 2008

To Whom It May Concern:

The Alabama Department of Economic and Community Affairs – Energy, Weatherization and Technology (ADECA-EWT) Division is soliciting proposals for the installation of geothermal heat pumps in K-12 schools. Proposals are being solicited from school systems located the State of Alabama.

This 'Request for Proposal' does not indicate acceptance or approval of any proposal in response to this request. No grant or contract payment can be made until a fully executed document is in place. Therefore, proposals selected for funding shall not perform any work prior to an executed agreement. Questions concerning the RFP may be submitted to Karl D. Frost, Program Manager, at karl.frost@adeca.alabama.gov or via fax at (334) 242-0552 through July 16. We look forward to your participation.

Sincerely,

A handwritten signature in black ink that reads "Terri L. Adams".

Terri L. Adams
Division Director
Energy, Weatherization and Technology

TLA/KF/ay

Enclosures

**Alabama Department of Economic and Community Affairs
Energy, Weatherization and Technology Division**

GEOHERMAL PROGRAM FOR SCHOOLS

REQUEST FOR PROPOSALS

1. Introduction

The Alabama Department of Economic and Community Affairs (ADECA), Energy, Weatherization and Technology Division (hereinafter called "EWT") manages the State Energy Program (SEP) for Alabama by authority of the U.S. Department of Energy (DOE). The Division is soliciting proposals for the installation of geothermal heat pumps in K-12 schools located in the State of Alabama. The proposed grant period for this project is October 1, 2008 through September 30, 2009.

\$25,000 maximum grant for each school system.

This Request for Proposal requires a non-federal matching contribution from the school system of at least 25% of the State Energy Program federal funds. The allowable matching contribution may include, but is not limited to, school system personnel time spent on this project, any supplies and materials used on the project purchased with school system non-federal funds, and any school system contribution towards paying for the actual energy improvements.

2. Due Date and Delivery Address

All proposals must be received no later than 5:00 p.m., Thursday, July 17th, at:

By Mail:

ADECA – EWT
P.O. Box 5690
Montgomery, AL 36103-5690

By Courier:

ADECA-EWT, Mailroom 404
401 Adams Avenue
Montgomery, AL 36104

Proposals should be sent to the attention of Mr. Karl Frost, Program Manager.

3. Right to Reject

EWT reserves the right to reject any and all proposals submitted and to request additional information from all Offerers. EWT reserves the right to award a grant on an "all or none" basis or to award a separate grant or no grant for each work element. Any grant award will be made to the organization which, in the opinion of EWT, is determined to be the best qualified and whose proposal best meets the needs of EWT. EWT reserves the right to negotiate with any Offerer(s). EWT reserves the right to reallocate funding for subject areas as deemed necessary.

4. Grant Awards

EWT intends to issue grants to begin no later than October 1, 2008, and terminate September 30, 2009, for an amount not to exceed that which is negotiated between the selected Offerer and EWT. Payments shall be made on a cost-reimbursement basis over the course of the grant.

5. Letter of Transmittal

Each proposal must be submitted with a letter of transmittal which must include the following:

- A. A brief statement of the work to be performed.
- B. Total cost of the project.
- C. A statement assuring that the person signing the letter is authorized to bind the offer presented in the letter and accompanying proposal.

6. Proposal Contents

The Offerer must submit one (1) original and three (3) copies of the following items:

A. Title Page

Title of project, name of Offerer's organization, local address, telephone number, email address, name of contact person(s), date submitted.

B. Executive Summary

Provide a one paragraph description of the proposed project.

C. Proposal Narrative

The proposal should not exceed 10 pages in length with a minimum size 11 font. Forms are not a part of the 10-page limit. The offerer shall submit a written narrative outlining each of the following:

- Provide detail on what size system will be used, and if a thermal conductivity test will be performed prior to installation to determine which system is most appropriate for your school.
- Anticipated benefits of the proposed project, such as environmental benefits and estimated energy savings based on energy usage from previous years.

- Measurement methods for collecting and providing actual energy savings after completion.
- Proposed tasks, a time table for each task, and estimated budget amount for each task. Note: the proposed grant period for this program is October 1, 2008 through September 30, 2009.

NOTE: If equipment (property with an acquisition cost of \$5,000 or more and a useable life of at least a year) is going to be purchased as a part of this grant, then the following information must be furnished:

- description of equipment
- cost estimate
- how equipment will be used

D. Attachment "A" Project Budget

The Project Budget will outline expenditures that will be made in your geothermal project. The cost of improvements would typically be in the supplies and materials, contractual, and equipment categories. However, please reference Attachment "B" for a description of each category. Proposal preparation costs are not reimbursable.

E. Attachment "B"Budget Narrative

The Budget Narrative is a detailed explanation of the expenditures outlined in the Project Budget.

F. Attachment "C" State of Alabama – Disclosure Statement

Alabama Act 2001-955 requires the Vendor Disclosure Statement to be completed and filed with all proposals, bids, contracts, or grant proposals to the State of Alabama in excess of \$5,000. Complete all lines as indicated. If an item does not apply, denote 'N/A' (not applicable). If you cannot include required information in the space provided, attach additional sheets as necessary. The form must be signed, dated, and notarized.

G. Attachment "D" Offerer/Proposer Information

The Offerer must submit an Offerer/Proposer Information Form to properly indicate organization and contact information of the individuals involved in the project.

H. Attachment "E"..... Taxpayer Identification Number (Form W-9)

A completed W-9 Request for Taxpayer Identification Number and Certification form must accompany the proposal.

I. Attachment "F"..... Immigration Status

A declaration that all workers on this project are either citizens of the United States or are in a proper and legal immigration status that authorizes them to be employed for pay within the United States.

J. Attachment "G"..... Assurance of Compliance

A declaration that the applicant agrees to assure that no person in the United States shall, on the ground of race, color, national origin, sex, age, or disability, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity in which the Applicant receives Federal assistance from the Department of Energy.

K. Attachment "H"..... Certifications

This form certifies matters regarding lobbying, debarment, suspension, and other responsibility matters including a drug-free workplace.

7. Evaluation

Proposals shall be evaluated as follows:

	Maximum Points Possible
I. <u>Technical Criteria</u> (80 possible points)	
A. Describe anticipated benefits from the project in terms of increased energy efficiency and reduction of operating costs. A detailed description of each work element should be included. Task elements must be clearly defined and quantifiable.	<u>35</u>
B. Method for evaluating program success	<u>5</u>
C. Describe system to be installed including cost, savings, payback, and appropriateness of capacity. A realistic timeline outlining tasks should be included.	<u>20</u>

- D. Proposed estimated energy savings and measurement methods for calculating actual energy savings associated with project. 20

II. Cost Criteria (20 possible points)

- Budget must be appropriate for proposed project, including a mandatory 25% non-federal match of requested federal funds. Budget shall provide a cost breakdown for each proposed task. 20

Total Maximum Points 100

8. Prohibited Expenditures

State Energy Program Regulation 10 CFR Part 420 does not allow expenditures or matching funds for the following:

- For construction, such as construction of mass transit systems and exclusive bus lanes, or for construction or repair of buildings or structures
- To purchase land, a building or structure or any interest therein
- To subsidize fares for public transportation
- To subsidize utility rate demonstrations or state tax credits for energy conservation
- To conduct or purchase equipment to conduct research, development or demonstration of conservation techniques and technologies not commercially available

9. Proprietary Information

The information contained in the proposals will be public information unless specific requests are made to keep specific information confidential. If a proposal contains any information that the Offerer does not wish to have disclosed to the public or used by EWT for any purpose other than evaluation of the offer, each sheet of such information must be clearly marked "proprietary." This information will be kept confidential, subject to the laws of the State of Alabama. Proposals and supporting materials submitted shall become the property of EWT.

10. Late Proposals

Proposals submitted after the due date will not be considered. EWT reserves the right to reject any incomplete proposals without review.

11. Questions

Questions pertaining to this RFP may be submitted to Karl Frost, Program Manager at karl.frost@adeca.alabama.gov or faxed to (334) 242-0552.

This 'Request for Proposal' does not indicate acceptance or approval of any proposal in response to this request. No grant or contract payment can be made until a fully executed document is in place. Therefore, proposals selected for funding shall not perform any work prior to an executed agreement.

YOUR PROPOSAL MUST INCLUDE THE FOLLOWING:

- Letter of Transmittal
- Title Page
- Executive Summary
- Proposal Narrative
- Budget (Attachments "A" and "B")
- Completed, signed and notarized Disclosure Statement (Attachment "C")
- Offerer/Proposer Information Form (Attachment "D")
- Form W-9 Request for Taxpayer ID Number (Attachment "E")
- Immigration Status Form (Attachment "F")
- Assurance of Compliance (Attachment "G")
- Certifications Form (Attachment "H")

FINAL NOTE: Please keep a complete copy of your proposal (including a copy of all completed and signed attachments) for your records.